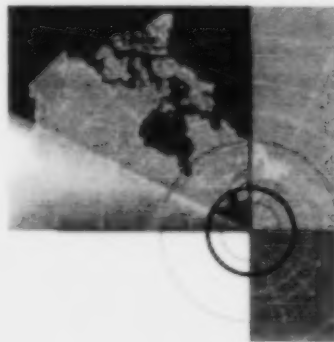


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Military and Postconflict Security

Implications for
American, British and
Other Allied Force
Planning and for
Postconflict Iraq



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List of Abbreviations

DDR	Disarmament, Demobilization and Reintegration
ECOMOG	ECOWAS Monitoring Group
ECOWAS	Economic Community for West African States
IFOR	Implementation Force (NATO)
PSO	Peace Support Operations
RENAMO	Mozambican National Resistance
RUF	Revolutionary United Front (Sierra Leone)
SALW	Small Arms and Light Weapons
SFOR	Stabilization Force
SSR	Security Sector Reform
UNAMSIL	UN Assistance Mission in Sierra Leone
UNCIVPOL	UN Civilian Police

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Military and Postconflict Security: Implications for American, British and Other Allied Force Planning and for Postconflict Iraq

Ann M. Fitz-Gerald

Introduction

Security sector reform (SSR) is a subject that has garnered significant attention from the development community and has crystallized into a debate that has been tackled holistically by the national governments, as well as by many multilateral and nongovernment actors.¹ While efforts to generate and build on the theory and concepts have encouraged the development of wider approaches and mindsets, the way in which these concepts have been translated into practice remains unclear at worst, and disparate at best.

It is necessary to acknowledge the wide spectrum of activities and actors involved in SSR issues, and the institutional co-operation this implies both at the international and local levels. For example, it is impossible to initiate a comprehensive military reform program without addressing legal and constitutional frameworks that ensure an acceptable degree of accountability from and transparency of the armed forces. Similarly, a country's defence sector cannot undergo a completely successful transformation without equal efforts being extended to the reform of the internal security forces and their

civilian oversight mechanisms, such as the police and judicial systems. The plans to overthrow Saddam Hussein's regime in Iraq will result in an extensive agenda for the international community to take on, particularly with regard to Iraq's security structures — a potential vacuum which, if left unaddressed, will have a further fractious effect on the region.

While a plethora of additional humanitarian and civil protection responsibilities (which have come in the early stages of many recent military interventions) has triggered cries of "mission creep" from national military contributors, today's interventionists must be cognizant of the wider security needs of the transitioning societies to which they are deployed. This notion bridges the gap between the emergency response phases of a conflict and the wider development agenda — a gap which, if left, can have a enormous destabilizing effect on a society, and reignite the roots of the conflict quite quickly.

This paper examines security in its broader context and identifies the key actors and activities of the wider security sector. It gives an overview of the main program and policy areas for SSR and discusses the potential contribution of international military forces to each of these areas. Lastly, this paper looks at the current state of doctrine for peace support operations, and the operational training that supports contemporary approaches to peace operations, and makes recommendations as to how SSR concepts can be blended into strategic planning and policy to account for the evolving developmentalization of security.

Security Sector Reform

The agenda for international military forces has always included elements of broader security concerns, many of

which are addressed in the later stages of a conflict intervention, also known as the "postconflict development" or "postconflict reconstruction" phases. For example, international military forces serving under the NATO Stabilisation Force (SFOR) mandate in Bosnia now find themselves responsible for operations such as minority returns, the settlement of internally displaced persons (IDPs), the support of mine action programs and the collection of small arms and light weapons (SALW) caches from an array of different areas in the country. More recently, in Afghanistan, British forces serving in Kabul have focused on garnering local support through the implementation of "quick impact programs," which have included community rebuilding and local integration programs.²

Even in different types of interventions, such as NATO's Kosovo Force (KFOR) and the British Army's ongoing operations in Northern Ireland, a focus on the need for civil protection for minority groups dominates the agenda. Both cases have parallels with experiences in Haiti, El Salvador and other internal crises where internal policing became the priority, and the international military forces strove to reach an endstate where the police could regain primacy. This will be important in Iraq. Although events in Northern Ireland have progressed to a level where the Royal Ulster Constabulary has held primacy since the early 1990s, the military still plays a support role to boost the effectiveness of the overall security sector and works toward achieving wider development goals.

Due to the complex and multidisciplinary nature of the subject, SSR has recently been identified as one of the most important challenges facing a range of government ministries and agencies. Chris Smith, of King's College, London University, suggests that the academic history behind the evolution of SSR is one of fits and starts, lacking continuity and lucidity.³ It has

emerged with the wider examination of military institutions in the Third World and with the study of defence diplomacy or the development of democratic market-based defence forces. Important linkages have also been drawn between defence expenditures and economic development in these countries, which is often seen in bloated defence budgets and the absence of any civilian oversight governing the activity of the military.

The subject further evolved when the relationship between defence forces and internal security forces was examined, and it was recognized that in many countries there was little difference between the military, what constituted internal police forces, and systems underpinning justice and the rule of law. A wider security community became identified, which — in addition to the police, the armed forces and the judiciary — included border guards, civil defence forces, intelligence services and paramilitaries. Professor Robin Luckham, from the Institute for Development Studies, Sussex University, describes SSR as

the quintessential governance issue. This is so both in the sense that there is enormous potential for the misallocation of resources and also because a security sector out of control can have an enormous impact on governance — indeed, be a source of malgovernance.⁴

Other academics and practitioners typologize the actors involved in the wider security sector, which include those statutory and nonstatutory security services authorized to use force, civil society actors and oversight mechanisms, and nonstatutory forces that are not authorized to use force on any occasion. Nicole Ball describes the "wider security family" as including

- the security forces (armed forces, police, paramilitary and intelligence services)

- the relevant ministries and offices within the executive branch charged with managing and monitoring the security forces (such as the ministries of defence, finance, internal and foreign affairs, national security councils, and budget and audit offices)
- informal security forces
- the judiciary and the correction system
- parliamentary oversight committees
- private security firms
- civil and political society²

Because this paper will focus on the contribution of the military to SSR activities, a framework will be developed that is slightly more focused than Ball's list of actors and draws a distinction between the players — the enablers/disablers and the activities/programs — which, collectively, draw on most of Ball's descriptors listed above.

Figure 1 outlines a framework that describes the central players within a country's security sector along the horizontal axis. These include the intelligence services, the armed forces, the police, the judiciary, paramilitaries, and border and customs officials. A number of policy imper-

atives, which can serve as "enablers" or "disablers," are listed along the vertical axis, all of which taken together should underpin the activities of each of the security-related agencies listed below. These include accountable and transparent legislative frameworks, civilian oversight, capacity, structure and capability, and policy and budgetary planning. The application of professional competencies to some of these combined areas results in bilateral and multilateral policy being developed to address the more prominent problems inherent in some of these categories. Examples of this may include the development of programs and policies on United Nations (UN) peacekeeping, Disarmament, Demobilization and Reintegration (DDR), Small Arms and Light Weapons (SALW), Democratic Governance and Civilian Policing.

It is important to note that these program areas can respond to the needs of a number of security agencies and their respective enablers/disablers, simultaneously, depending on the program and the way in which it is implemented. It is these program areas that this paper will focus on, and not the elements characterizing the vertical and horizontal axes of the model.

Figure 1
Combined Areas for Program and Policy Development⁵

Program and Policy Areas	Security Sector Actors				
	Military	Police & judiciary	Paramilitaries	Intelligence	Border guards/customs
	Legislative framework				
	Civilian oversight				
	Budgetary planning				
	Capacity, structure & capability				
	Policy and planning				

Moreover, the analyses will draw linkages between each program area and study the implications for international military forces.

Security Sector Reform and Peace Support Operations

The realization that UN peacekeeping spanned a number of undefined modes of military intervention — some of which did not necessarily require a specific “peace” agreement to be in place prior to the deployment of international military forces — brought about a number of new definitions for what constituted peacekeeping in its broader sense. While the United States popularized the term “military operations other than war” (MOOTW),¹ the UK crafted the term “peace support operations” (PSO),² which would serve to embrace all the UN-bespoke “tools of peace” such as peacekeeping, peacemaking, peace enforcement and peacebuilding (the details for each can be found in the UN’s 1995 *Supplement to the Agenda for Peace*).³

Over the last decade, the UK Armed Forces have further developed doctrine and concepts dealing with the broader notion of PSO. As a result, the UK has earned itself the position of custodian for the development of NATO doctrine on PSO, and has served as an influential lead nation on these issues at UN headquarters in New York. Moreover, it has projected these ideas further afield and has played a role in doctrine development and training in African, Latin American and Southeast Asian countries.

There are essentially three types of military responses to regional war, intra-state war or internal civil strife: 1) a UN-led, UN-endorsed intervention, such as the 1992 UN Protection Force (UNPROFOR) in Bosnia and the UN Mission in

Haiti (UNMIH); 2) a regional organization-led, UN-endorsed intervention, such as NATO’s Implementation Force (IFOR) in Bosnia or the Organization for Security and Co-operation in Europe’s initial intervention in Kosovo; or 3) an “executive agent” or coalition-of-the-willing-led, UN-endorsed intervention (the type of intervention that the international community is seeing more of due to bureaucratic constraints to rapid reaction, mobility and the high readiness of multinational troops).

The common element to all of these interventions is the endorsement of the UN Security Council or, in the case where the Council has, in the past, been gridlocked by the veto of any of the five permanent members, resolutions have still been passed in the UN General Assembly to sanction the efforts of others. Thus, the evolution of programs and policy on United Nations PSO requires continuous support. This will undoubtedly be facilitated by the UN’s commitment to implementing recommendations for improving UN peacekeeping as articulated in the Brahimi report.⁴

Recent debate over the situation in Iraq has also prompted the UK government as well as most other European heads of state and international diplomats to reiterate the importance of international responses to international crises, which implies the need for the response to Iraq to be supported by a UN resolution if possible. Security Council failure to respond only complicates the postconflict peace support issues. However, strengthening the expediency of political decision-making mechanisms must run parallel to a more robust capacity to respond militarily, even if this capacity is outsourced.

The concept of PSO continues to be defined in terms of level of consent and degree of impartiality of the intervening forces. When both these factors are low, the environment tends to be quite volatile, with fighting continuing and little

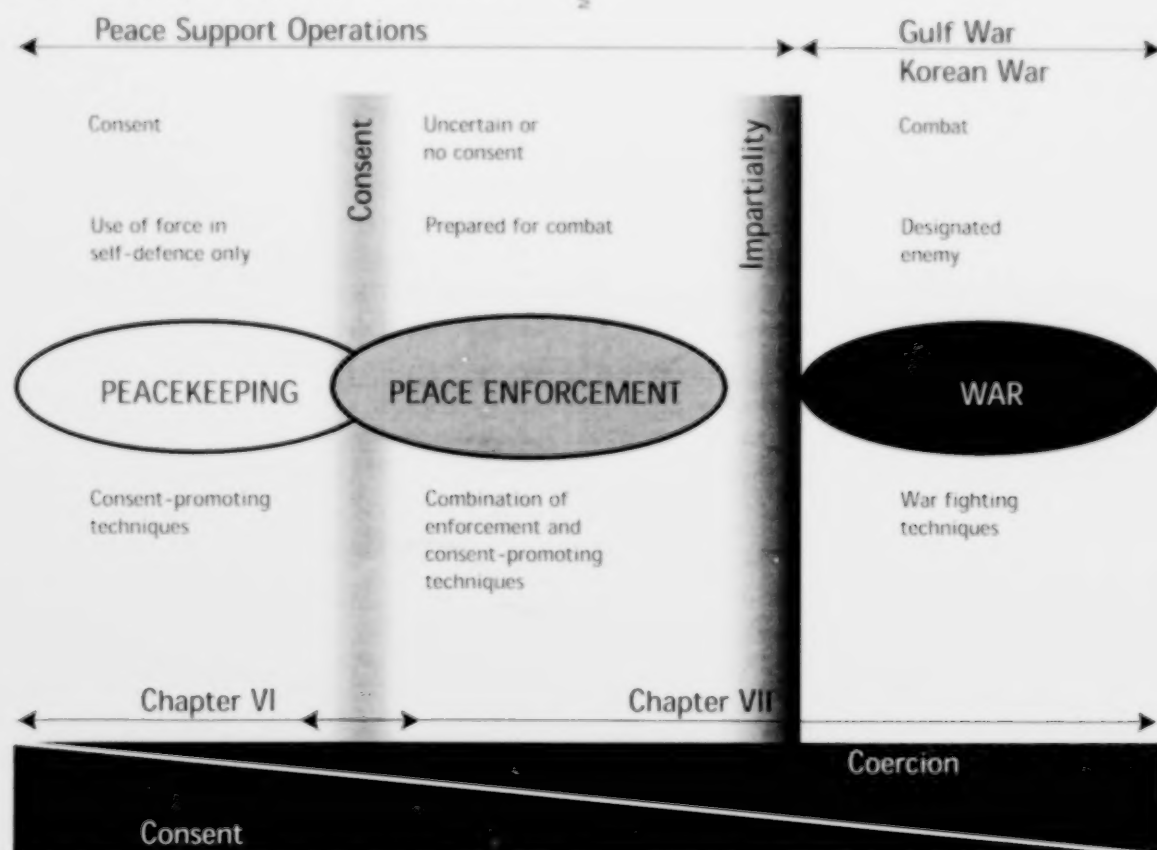
prospect of peace in sight. This is normally characterized as a *peace enforcement* intervention, in which troops are sent into theatre under Chapter VII of the UN Charter, where consent and sometimes even a stable government are nonexistent. As the levels of consent and impartiality increase, international military forces often find themselves in a peacekeeping environment, which is typically supported by some sort of interim peace agreement. Figure 2 illustrates this argument.

However, there is often a blurred distinction about what constitutes a pure peacekeeping environment, as peace agreements can remain quite

fragile and often exclude many nonstate actors that perpetuate the violence in the first place. Lastly, a state of *peacebuilding* comes when the peace agreement has gained wide acceptance, when the international community has secured a degree of local trust and confidence, and when the international military forces take a backseat to the primacy of local actors who develop new democratic roles in an effort to sustain peace.

Not surprisingly, the primary problem with this military model is that it presumes that these states exist on a continuum and that one always precedes the other. All too often we have witnessed the case where this continuum works

Figure 2
The Peace Support Operations Continuum



Source: United Kingdom, *Peace Support Operations*, Joint Warfare Publication 3-50, Joint Doctrine and Concept Centre, Ministry of Defence, 1998.

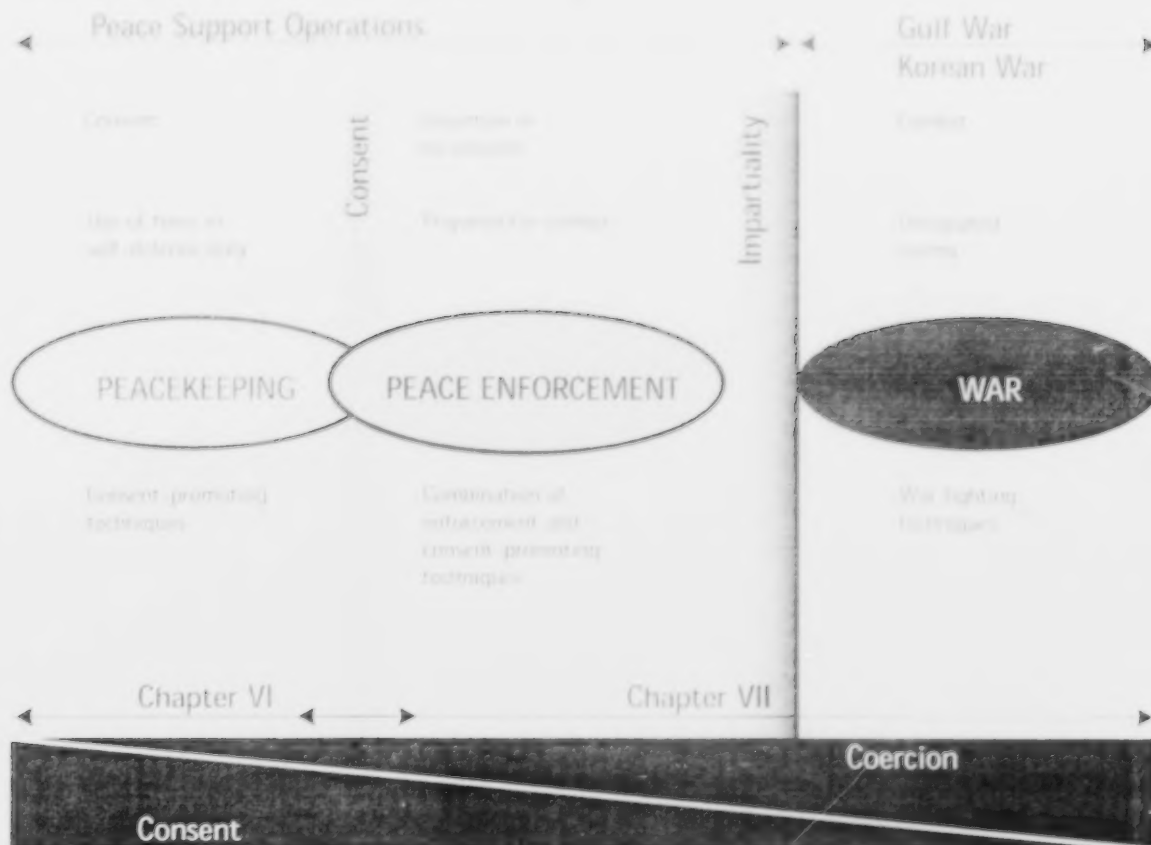
prospect of peace in sight. This is normally what is referred to as a peace enforcement intervention, in which troops are sent into theatre under Chapter VII of the UN Charter, where consent and circumstances create a stable government and intervention by the levels of consent and impartiality increase. International military forces often find themselves in a peacekeeping environment which is typically supported by some sort of peacekeeping government. Figure 2 illustrates this spectrum.

However, there is often a blurred distinction about when constitutes a post peacekeeping environment as peace operations can remain quite

fluid, and often include more volatile actors that perpetrate the violence in the first place. Lastly, a state of peacebuilding exists when the peace operation has gained wide acceptance, when the international community has secured a degree of local trust and confidence, and when the international military forces take a backseat to the processes of local actors who develop new democratic roles in accord to sustain peace.

But inevitably the serious problem with this strategy model is that it presumes that these actors come to a consensus and that one always overrules the other. All too often we have witnessed the case where this resolution works

Figure 2
The Peace Support Operations Continuum



Source: Robert K. Cooper, *Peace Support Operations: How UN Forces Can Help Build Peace*, International Training Ministry of Defence, 1996.

backwards and peace processes disintegrate overnight, as was the case in Sierra Leone in May 2000 when the Revolutionary United Front (RUF) attacked Freetown and caused havoc to ongoing development activities and reform programs. The continuum-based approach therefore does not give the attention it should to backward transitional management, or forward, as the state of the security environment regresses. As SSR has traditionally been associated with the last phase on this continuum, and one that is often not reached due to the backward swings characteristic of a regressive peace or flawed intervention, a lack of attention to the wider security issues often serves to impede progress.

It is essential that doctrine and concepts on PSO further evolve to reflect the wider security challenges that can be encountered in a theatre of operations, challenges that should not necessarily be left to the peacebuilding, or postconflict reconstruction phase of the operation. Intervening military forces should be fully aware of how their activities may impact the development of a new national police force or the rebuilding of a national justice system. Similarly, knowledge acquired on small arms, light weapons, paramilitary groups and excombatants might usefully feed into the information repositories developed for Disarmament, Demobilization and Reintegration (DDR) and SALW programs, which may be more formalized following an interim peace agreement. If a government already exists, and the level of consent for the international intervention is high, the role of the peacekeepers should also contribute to strengthening civil society and educating parliamentarians, journalists and NGOs on the democratic role of the military, something which still undermines levels of public confidence in Belgrade, where a relatively stable government has now been in place for some time. These local actors play an important role in

feeding government policy, advocacy, agenda-setting, educating young opinion formers and in communicating these issues to the greater public.

Efforts to build SSR into PSO doctrine and training should extend to both NATO and UN peacekeeping countries, due to the influence both organizations exert on the policy and procedures of troops deployed under their respective organizational mandates. At the moment, large disparities exist in the approaches of different countries in implementing effective peace support activities.¹¹ Different levels of commitment to local dynamics become apparent, particularly in the postconflict phase of an intervention when the more professional national military contributors, normally earmarked for the more intense phases of military activity, are replaced by forces from countries that are more suited to contributing once some degree of peace and stability has been achieved and more of a monitoring and confidence-building role is required by the international military forces. All too often, many peacekeeping contributors in this "second tranche" are quite removed from the current debate on PSO doctrine and training and, as a result, are less committed during this critical stage of SSR programs. The Nigerian-led UN Assistance Mission in Sierra Leone (UNAMSIL) serves as a good example of where a lead military contributor was ill-prepared for the deterioration of a conflict and failed to respond to the wider security needs that could have prevented the resurgence of violence. For example, despite the initiation of a large-scale DDR program called for in the framework of the 1999 Lomé peace agreement,¹² Nigerian troops were unsuccessful in deterring further attacks by the RUF on Freetown, a series of which culminated in the hostage-taking of 500 UN peacekeepers. The UN and the British government immediately called for the deployment of troops to solve the hostage crisis,

secure the airport and assist the Sierra Leone Army in more credibly deterring further rebel attacks.

Once again, this underlines the danger in using the continuum model, which is still imbued in the mindset of many national militaries and aid organizations, and which comes across quite vividly in the illustrations of doctrine on peace support operations. SSR has been misplaced as an activity in the postconflict-reconstruction or peace-building phases of a conflict, and is often left to the authority of development agencies and international peacekeepers, which play only a marginal, and often disinterested, support role. Military and civilian interventionists who ignore requirements to reform security sectors in the earlier phases of intervention do so at their peril, and they fail to provide more comprehensive solutions to wider security needs. Broadening their stakeholder base and awareness of interrelated activities does not suggest a shift toward "mission creep" — security is the core business of the military and SSR issues must be incorporated into their mindset, mandate and operational planning.

The following sections will examine some of the SSR program areas and the potential contribution that international military forces could make to these activities. While it is beyond the scope of this paper to address all program areas, the linkages between PSO and police reform, DDR, SALW and civil society will be discussed, albeit each in modest detail only.

Linkages between Peace Support Operations and Policing

As described in earlier sections, the transition from military to civilian policing has been a common element of

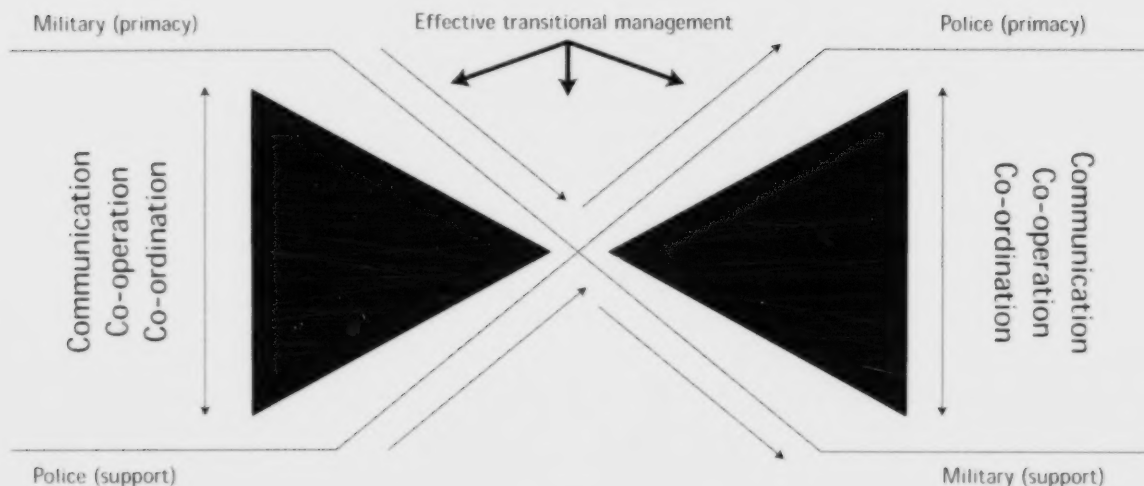
many complex emergencies and military interventions, particularly in countries where there is a breakdown in the rule of law and civil protection. Generally speaking, there are three circumstances in which there is need for police reform:

1. where there is a political transition and a need to transform the police forces from an oppressive to a democratic institution (e.g., South Africa and Russia);
2. where there was no civil war, but where the military was the primary source of security and the police forces stood as the poor relatives with no resources (e.g., Nigeria, Uganda); and
3. in a postconflict situation where the police forces were either nonexistent or needed rebuilding by an external third party such as UN Civilian Police (UNCIVPOL) (e.g., Sierra Leone, Mozambique, Afghanistan).

Police reform normally involves a tripartite process, whereby international military forces are initially responsible for securing and stabilizing an environment before handing over to civil authorities. An international civilian police force, such as UNCIVPOL,¹² then assumes police primacy as a newly developed or newly reformed force trains under international authorities and prepares for an eventual handover of the civil protection function. Figure 3 illustrates this need for supporting mechanisms to underpin each end of the "military/police primacy spectrum" (see p. 10). If overlooked, the resulting vacuums (shown in black) must be filled for a co operative and amicable relationship to develop in the future.

During this process it is absolutely critical that the initial military mandate includes an element of civil protection and does not convey the impression that the presence of international

Figure 3
The Military-Police Primacy Spectrum



peacekeeping forces is merely to offer protection to politicians and international personnel. As a result, peacekeepers must be perceived to be offering credible security guarantees to the majority of the civilian population, or individuals and groups will go in search of security by other means. Paramilitary groups and warlords who do not have an interest in disarming and demobilizing garner the support of these local groups, who then serve as a prime target market that justifies their continued existence. This subsequently makes the job of the international police forces even more difficult, as they lack the local confidence necessary to rebuild a national police force in an environment where local acceptance provides a more promising foundation for sustainability.

As outlined in figure 3, in many cases there is a limited distinction between a country's military force and police force, and the former is usually the dominant ruler of both internal and external security. As a result, the separation of these powers becomes hugely challenging, let alone efforts to encourage the forces to work in concert with

each other, as opposed to in competition with each other. Thus, it is difficult to directly draw on the templates used in Northern Ireland and Bosnia for police intervention in Africa, Southeast Asia and Iraq.¹¹ The former cases assume a degree of transparency between the two forces and the willingness to offer mutual support regardless of which party maintains primacy. Other entry points or, perhaps, supporting strategies, would have to be developed for different global regions where issues such as primacy and "aid to the civil power" remain unfamiliar terms.

It is therefore essential that issues concerning the rule of law and civil protection be factored into a robust international peacekeeping mandate at the earliest stages of a military intervention. This does not mean that the military should take on civilian policing activities, but it should acknowledge the endstate required for both a successful withdrawal of military forces and a well-managed transition to civilian protection for internal security. As a result, a more comprehensive approach to rebuilding the security sector can be undertaken by both internal

and external actors. This underlines the importance of understanding the full security development spectrum at the earliest stages of international involvement.

Linkages between Peace Support Operations and Disarmament, Demobilization and Reintegration

As civil wars and regional conflicts continue to be fuelled by the proliferation of rebel forces, paramilitaries and breakaway armies, the need for effective and comprehensive Disarmament, Demobilization and Reintegration (DDR) programs remains critical for postconflict peace. DDR programs come with enormous social, economic and political implications and, as a result, must be integrated into the wider institutional development strategy.

Questions have been asked as to whether or not a peace agreement must be in place in order to initiate a successful DDR program.¹¹ In most cases, this would be a necessary prerequisite for the wider buy-in of the national government and the necessary support for local structures needed to sustain an effective DDR program, such as a national commission for DDR. Arguably, much of the relative success of the DDR program in Mozambique could be attributed to the fact that it was strongly supported and subsidized by the Mozambican government, as well as supported regionally by the South African government. As the successful transition of postapartheid events in South Africa preceded the disarming of RENAMO rebels in Mozambique, border security interests represented one of the many mutual interests between the two countries in stabilizing the region. This encouraged South Africa to provide a proactive contribution to Mozambique's DDR process.

The case of the DDR program in Mozambique also opens the debate for more regional solutions to DDR. Small arms and excombatants do not become obsolete easily, are not easily traceable and can continue to operate in vigilant and criminal networks beyond the immediate borders of their countries. For example, despite the relative success enjoyed by the National Commission for DDR in Sierra Leone, many RUF fighters are continuing their aggressive action in Liberia, Guinea and the Ivory Coast. Thus, national DDR programs must engage the many existing regional and subregional structures, such as the Economic Community for West African States (ECOWAS), the G-8 countries, the Organization for African Unity (OAU), and the New Partnership for Africa's Development (NEPAD), and encourage them to be instrumental to the wider SSR agenda for the region.

For example, the 1998 deployment of the Nigerian-led ECOMOG force (ECOWAS set up the armed monitoring group, ECOMOG) and the subsequent contribution the Nigerian government made to the UNAMSIL force serves as an example of regional solutions to regional problems. Bilateral discussions between the governments of Sierra Leone and Guinea prepared Guinean forces to meet rebel groups attempting to penetrate the Guinean border, which sent a powerful message to the RUF concerning the support to the international community offered by Sierra Leone's neighbours. Similarly, immediate efforts to implement UN sanctions on Liberia also sent out an important message and served as an effort to break ties between Liberian leader Charles Taylor and the RUF. The creation of an International Contact Group (ICG) on Liberia (which included France, Monrovia, Senegal, Britain, Nigeria, the UN and ECOWAS) also sought to create stability in the subregion and find a resolution between Liberia's government forces and the rebel groups.

Beyond the regional level were the consistent efforts at the international level to keep the conflict in Sierra Leone high on the agenda of the UN Security Council (UNSC). The problem with diamonds providing an incentive for violence, paying for weapons and fuelling the war was also mitigated by the US government-led Kimberly Process, which sought to combat the conflict diamond trade through the implementation of a global rough diamond certification system.

A more recent trend that has surfaced from ongoing DDR programs is the tendency to concentrate on the disarmament and demobilization parts of DDR, but not as much on the reintegration aspect. Due to the chronological nature of this equation, donor fatigue tends to have an eroding effect on the DDR programs, creating a reluctance to accept the wider community needs for successful reintegration.¹⁶ These difficulties arise from the tendency to disaggregate the terms in DDR when, ironically, the emphasis should be on reintegration, with disarmament and demobilization seen as necessary prerequisites. Besides a loss of interest and lack of resources, funds are often borrowed from the reintegration budget for stop-gap measures during disarmament and demobilization. Lastly, DDR straddles parts of both the emergency relief and the development domains. As bilateral and multilateral donors and operational agencies increasingly pursue more coherent strategies that place them in only one of these camps, more divisions are created between what may be considered as the more emergency-related phases of the DDR program and the longer-term development activities.

Furthermore, the international community often underestimates the economic challenges for the successful reintegration of excombatants when poverty levels characteristic of postwar economies present limited opportunities for civil society. Because of the public disdain for and lack

of confidence toward armed forces, there is a reluctance to consider the possibility of reintegrating excombatants into a democratic armed force as a viable source of employment. The post-war emphasis on downsizing often rules out areas of employment most compatible with the training and core competencies of the excombatants. Should the US-led military intervention in Iraq result in provisional governance by an international administration, as in Kosovo, the restructuring of the security forces, complemented by a well-orchestrated public information campaign, will be quintessential elements for consideration.

Beyond this, however, is the need to focus on the reintegration of the wider community, and not just the excombatants. More effective research, and demographic and market analyses, must underpin DDR programs in order to produce skill sets to support a country's wider development needs. For example, the infrastructural rebuilding of roads and bridges to stimulate internal economic activity and improve logistics to attract foreign investors requires engineers and labourers accustomed to working in team environments. Institutional rebuilding requirements could also produce skill set profiles that could directly influence national DDR objectives.

The wider community may also be affected by large groups of refugees who have either been internally displaced or who have no home to return to. Reintegration strategies must consider these other groups that do not form part of the pool of excombatants but face similar problems with regards to community reintegration. Families of excombatants will also require support, as will former female soldiers who often represent a significant part of a rebel force, as was the case in Eritrea and El Salvador.

Most importantly, DDR programs must be integral to the national plan and link with economic, social and political imperatives. This has important implications for strategic planning with

regards to other strands of the wider security sector and the linkages between programs such as DDR and the development and training of democratic armed forces and a transparent and accountable internal security system. From the highest foreign policy objectives — which are a function of national interests and core values — should be drawn the broader implications for security planning. It is important to note that national interests of many countries will differ from most Western templates, as other societies are built upon different sets of values. Consequently, different objectives to support a national security strategy will be developed.

A national security strategy should therefore embrace all strands of the wider security sector and should clearly articulate objectives and priorities for each strand. At the operational level that follows, implementation imperatives for each area should be specified, such as details on national DDR programs, which may then be linked back to the highest levels of a country's national strategic plan. The same is true for other SSR program areas, such as the requirement for a strategic defence review, a review of national defence expenditure, boosting the degree of civilian oversight for security agencies, and perhaps preventive measures like weapons stockpile management and legislation on arms exports. This encourages wider buy-in at all levels of government, as well as codes of transparency and accountability, which underpin how these objectives should be achieved.

The above merely touches on the extent to which PSO, strategic planning for a country's defence sector and DDR programs are so tightly linked to more comprehensive SSR strategies. The linkages create opportunities for military intervention at the strategic level as well as the operational level. For example, force commanders negotiating with local governments must be aware of how the international military interven-

tion will support other strategic priorities in the national plan, particularly if the international military force is still the dominant actor in the transitioning society.

At the operational level, international militaries can contribute information on excombatants to local government authorities as well as the appropriate international and local commissions tasked with addressing DDR requirements. In addition, they could advise on the type of weapons the commissions could expect to collect prior to encampment and what may be required before rebel soldiers are able to renounce their combatant status. Lastly, the international military force could contribute to the security of the demobilization camps and advise on the skill sets of excombatants and their applicability in civilian employment.

Linkages between Peace Support Operations and Small Arms and Light Weapons

The linkages between SSR and Small Arms and Light Weapons (SALW) relate to much of what has already been discussed in earlier sections. It is important to acknowledge the relationship between the demand for arms and the perception of a threat and, therefore, the associations between SALW and areas such as policing, international peacekeeping, judicial reform and the role of civil society.

Once again, the common denominator for all of these areas is the place of SALW in the national strategic plan. Implementation objectives and priority areas for security planning across the sectors have wide-reaching implications for SALW programs. For example, the need for legislation on central procurement can serve to speci-

fy procurement needs for national defence and internal security purposes. Similarly, requirements for weapons stockpiling and inventory management should also underpin the objectives of all the security service portfolios and be a priority for external actors tasked with assisting in military training and DDR programs.

International military forces can also contribute to the planning of SALW programs. As mentioned in earlier sections, UN peacekeepers are often on the ground before any thought has been given to SSR. With a broader understanding of comprehensive SSR requirements and the activities scheduled to ensue following the departure of these forces, peacekeeping troops should be able to provide critical knowledge to other incoming external actors, particularly on excombatants and armaments. One often assumes that lists documenting the location and details of arms, landmines and rebel forces are readily available. While this has been the case in a very small number of postwar societies, it is certainly not the case for most countries.

Due to the earlier interventions of NATO forces in Bosnia, as well as improved relations between the Bosnian entity armed forces and the international military forces, SFOR now plays a vital role in mine action programs. The same logic could be used to increase the contribution international peacekeeping forces could make to SSR programs, simply by sharing information on rebel and paramilitary forces, as well as the location of arms caches, types of weapons used, weapons trafficking channels, etc.

In their role as postconflict military training advisers (as with the International Military Assistance Training Teams, which operate in different African and Central and Eastern European countries), knowledge of the military's contribution to SSR and wider development strategies could be communicated to the newly trained forces. This information should also be channelled toward civil

society groups in order to boost confidence in the newly formed military force.

Linkages between Peace Support Operations and Civil Society

As described in the framework outlined in figure 1, as well as in Nicole Ball's list of members of the wider security family, civil society plays an enormously important role in the development of democratic security forces and SSR. Following the end of a civil conflict, particularly when it involves serious human rights abuses by the country's military and internal security forces, the public perception toward security forces in general is quite negative. The long-lived nature of these forces in many countries prevents civil society from understanding or accepting any other use for the military.

In societies where remnants of elitist and oppressive military regimes still haunt postwar ministries of defence and headquarters of general staffs, parliamentary oversight becomes key to ensuring an acceptable degree of transparency and democracy within military politics. Such is currently the case in Serbia, where civil servants and former generals of Slobodan Milosevic's regime still hold prominent positions within the Federal Republic of Yugoslavia's Ministry of Defence. It is essential that these government representatives be presented with well informed debates by parliamentarians to ensure political accountability to the wider electorate. Unfortunately, newly elected members of Parliament are often ill informed on the essentials of democratic security forces and lack the ability to pose challenging debates. In Belgrade, the fact that the perceived status of a member of Parliament is directly proportional to one's role in the corpo-

rate world precludes any commitment to current debates, particularly in more remote portfolios such as defence and security.¹⁷ Similarly, news reporters and editors formally controlled by information monopolies of the oppressive regimes lack the skills required to conduct investigative, as opposed to sensationalized, journalism.

These problems open opportunities for international nongovernmental agencies (NGOs) to provide support to local actors to strengthen their knowledge and skills and provide a more informed level of oversight to the elected officials. While the role for international military forces here is more marginal than in other SSR-related program areas, the forces can certainly help to inform NGOs and media groups on the activities of newly trained forces, newsworthy information, and areas requiring further research and empirical studies that could be fed into parliamentary questioning. Furthermore, they could engage their own defence ministries to fund capacity-building programs in-country and advise on the democratic control of armed forces and the management of defence resources in market-based environments.

The Need for Regional Solutions

Earlier sections highlighted the need to engage existing regional mechanisms to provide regional solutions for postconflict and transitioning states. Also identified was the need for local constituents to develop national plans, in order to secure wider local buy-in to the solutions proposed.

It is essential to underpin both of these imperatives with clear direction from the international community, or the particular bilateral donors who wish to see themselves engaged in a specific area. More explicitly, a country supporting the

postconflict rebuilding of another country must be able to state, categorically, why it has a national interest to do so. All too often national militaries and development agencies are deployed overseas with no clear idea of the strategic interest supporting their mandate. It is just as important for the private soldier on the ground as it is for a Western nation's head of state to fully understand why their country is assisting in the effort. If it is simply to fill a widespread humanitarian/security vacuum, intervention agents should still understand the longer-term objectives and wider development agenda.

This theory must also be applied to supplementary support given to these countries, which often falls under the well-known label of "outreach programs." For a long time now, many different bilateral and multilateral programs have come to the assistance of postconflict and transitioning countries by offering foreign military training and courses on peacekeeping. One must sometimes question whether or not this is indeed what these countries are really in need of, and whether or not courses and training on the professionalization of armed forces might better serve their requirements. More blatantly, what some countries might really desire is training on how to be a professional platoon or company commander, or on how to run an accountable and transparent ministry of defence. After all, what good is the most effectively trained army if it cannot be controlled?

Conclusions and Recommendations

International and national military forces intervening in civil wars, collapsed states and transitioning societies must consider the wider security agenda in their overall man-

date, campaign planning, doctrine and training curriculum. SSR requires comprehensive solutions and the joined-up government¹⁸ efforts of bilateral donors that respond to these problems. In addition, what was traditionally an issue for the postconflict reconstruction group of players must now be seen as an issue for the conflict prevention and conflict phases of an intervention. For example, international development agencies and embassy staff should concentrate on preventive mechanisms such as better arms export control, legislation on customs and excise, the state of a country's armed forces and other vulnerabilities that could trigger the collapse of the security sector.

During the conflict phases of an intervention, when international military forces occupy the most prominent position on the ground, measures must be put in place to consider existing security structures and information gathered on the wider security sector, to ensure both better transitional management from military to civilian primacy and a sustainable development agenda. This paper has taken a cursory look at certain SSR program areas such as police reform, DDR, SALW and civil society, and discussed how the work of international military forces can usefully contribute to these program areas.

As we live in the shadow of an American-led war on Iraq, the requirement for postconflict peace and support to the security sector remains large and looming questions must be addressed and married with any proposed strategy to install an interim government or an international custodial administration. Even in Kosovo, which seems now to have become a long forgotten land for which no one is interested in taking on responsibility (including Serbia), internal security and civil protection of minority groups, as well as democratic governance, still remain the biggest obstacles to a sustainable peace. Weighed against the postconflict security challenges

following a military engagement in Iraq, Kosovo's problems seem negligible.

The following section will outline specific recommendations to enhance the military's contribution to SSR at the multilateral and bilateral levels.

Recommendations

"Joined-up" government – It is essential that formal mechanisms be developed within states (particularly donor countries) for joined-up decision-making between the relevant ministries that have an interest in SSR issues. This would clearly involve the participation of the ministries of defence, international development, foreign affairs, the interior, and the intelligence services or intelligence and critical infrastructure. SSR steering committees also should be created across these ministries, as should a common pool of funding to which each of the ministries contributes. The funding should be used to support policy development on wider security issues and capacity-building initiatives like in-country training and education in regions where the government has a national interest.

The development of in-depth assessment tools and methodologies – To improve information and knowledge management throughout the relevant ministries, in-depth assessment/analytical tools and methodologies should be produced for country/regional surveys and scoping studies. Other subject experts such as historians and social anthropologists should be brought in to add to these studies to ensure that there is a well-developed information repository for all areas to which the country may be asked to respond.

National defence ministries should be encouraged to build SSR concepts into their respective doctrine on peace support operations to recognize the wider spectrum of security-related activities and the linkages to international military mandates. The concepts should also be factored into campaign planning and into identifying

lines of activity that in the past have included issues like humanitarian assistance and support for refugee programs.

National defence ministries should build SSR concepts into their operational training courses and modules, particularly those that prepare new units for rotational deployments to different operational theatres. These courses should include briefings on the relationships and linkages between PSO and other SSR program activities, as well as the notion of "security development" beyond military endstates.

National defence ministries should ensure that the concepts of transitional management and change management become integral parts of management modules at the armed forces command and staff colleges. Module managers should spend time applying these concepts to a more comprehensive understanding of SSR imperatives in PSO.

UN military advisers to permanent diplomatic missions at the UN headquarters in New York should help stimulate the awareness of the relationship between SSR concepts and UN peacekeeping doctrine, particularly within the UN Department of Peacekeeping Operations.

More detailed scoping studies and research should be undertaken, as a follow-up to this paper, on the role of international peacekeeping forces in SSR programs and the contribution these forces can make to DDR and SALW programs.

As well, research should be undertaken that looks at the development of national strategic planning tools that work to the benefit of the intervention agencies and donor governments, as well as to the benefit of the local government. This will encourage the wider buy-in of local governments to SSR programs and articulate separate and inter-related objectives for each of the security portfolios. For external actors, a national strategy will encourage their efforts to work toward a wider development agenda that has already garnered the support of local constituencies.

Notes

- 1 The extent to which a country's security sector requires reform depends on whether or not the country is undergoing significant political, social or economic transitions or whether or not it is considered a "postconflict" state. In the latter case, SSR is a much better reference, due to need for widespread reform. In the former case, Security Sector Transformation (SST) is increasingly being used. For the sake of consistency, this paper will use "SSR" to refer to all contingencies.
- 2 Based on discussions with Captain Ian Richardson (RN), Assistant Director, Joint Doctrine and Concepts Centre, UK MOD, July 2002.
- 3 Smith (2001, pp. 5-17).
- 4 Robin Luckham, IDS, University of Sussex, May 1998.
- 5 Ball (2001, 2001).
- 6 An SSR planning tool developed in conjunction with Colonel Wittek Nowosielski, UK Defence Attaché, Belgrade.
- 7 See *US Army Field Manual* (2001, sec. 1-30).
- 8 See UK Joint Warfare Publication 3-50, *Peace Support Operations*, United Kingdom Ministry of Defence Joint Doctrine and Concept Centre, p. 4-2, 1998.
- 9 See Boutros Ghali (1995).
- 10 In response to calls put forward by several UN members for a major revision of United Nations peacekeeping operations, to be submitted to the organization's Millennium Summit in New York, Algerian foreign minister Lakhdar Brahimi was commissioned to draft what has become known as "The Brahimi Report." The report details recommendations on how the UN's capacity to undertake more effective peacekeeping operations can be strengthened in the future.
- 11 See Fitz-Gerald (2002).
- 12 The UN's Special Representative in Sierra Leone continued diplomatic efforts to eventually bring all parties to sign an agreement at Lomé, Togo under which rebels would receive posts in government and assurances that they would not be prosecuted for war crimes. The agreement also called for a more expanded role for the UN Observer Mission in Sierra Leone (UNOMSIL). This resulted in the deployment of the UN Mission in Sierra Leone (UNAMSIL), which was mandated to implement the Lomé peace agreement and assist with the DDR plan. In the 10 months that followed, efforts to keep the peace and rebuild the country's infrastructure were interrupted periodically by further rebel activity and unrest.
- 13 The involvement of the Royal Canadian Mounted Police in Bosnia and the Royal Ulster Constabulary in Kosovo provides another example of bilateral, as opposed to multilateral, international police intervention.
- 14 Based on discussions with Inspector General Keith Biddle, Sierra Leone Police Force, 30 January 2003.
- 15 Julia Taft (2002).
- 16 Based on discussions with Ambassador James Jonah, Senior Fellow at the Ralph Bunche Institute, New York, and former finance minister, Sierra Leone, 1998-2001, 16 December 2002, New York.

- 17 Based on discussions with Miroslav Filipovic, Head of the Defence and Security Committee, Federal Republic of Yugoslavia's Parliament, Belgrade, 26 November 2002.
- 18 "Joined up" government relates to the Labour government's policy of promoting co-ordination of the various elements of government activities, in all their phases.

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Résumé

Ann M. Fitz-Gerald

Des études récentes réalisées par des organisations comme la Banque mondiale, l'Organisation de coopération et de développement économiques, les Nations Unies et de nombreux autres organismes donateurs d'aide bilatérale ont établi un lien très clair entre l'incidence des conflits, une grande pauvreté, et le sous-développement. Lorsque survient une crise, les forces militaires et de sécurité sont souvent tentées de combler le vide créé par l'effondrement des structures étatiques, et elles échappent alors à tout contrôle démocratique. Dans ces circonstances, l'État ne peut plus garantir la sécurité de ses citoyens et les structures étatiques perdent leur légitimité.

Le lien entre la prévention des conflits et le développement a incité les législateurs et les praticiens à trouver des moyens de réformer l'appareil de sécurité d'un pays le plus rapidement possible après le début d'une intervention. Par le passé, la réforme du secteur de la sécurité (RSS) a généralement été confiée à des ministères oeuvrant dans le développement. Il est toutefois maintenant clairement établi qu'il faudrait intégrer les stratégies de RSS dans la planification avant, pendant et après un conflit ; l'examen de cette question ne saurait être confinée à la période de reconstruction, après le conflit. Cette façon de faire entraîne des répercussions en matière de consultation et de planification conjointes pour tous les ministères concernés des pays donateurs, et cela suppose qu'on adopte des dispositions appropriées à chaque étape d'une intervention. Les enseignements tirés du Cambodge, de Haïti, de la Bosnie, du Kosovo et du Sierra Leone ne sont que quelques exemples d'une situation où une mauvaise planification des activités a entraîné d'autres problèmes. De façon plus immédiate, cette observation vaut en particulier pour les difficultés qui surgiront après le conflit en cours en Iraq.

Certes, les militaires se soucient avant tout de la sécurité. Toutefois, dans une perspective stratégique élargie, les mandats et les résultats recherchés par les forces d'intervention doivent refléter les besoins de développement plus vastes du pays ou de la région concernés. Avant que les forces militaires ne remettent le pouvoir à d'autres acteurs de l'extérieur, comme des forces de police internationales, des autorités responsables des programmes de désarmement, de démobilisation et de réinsertion (DDR); ou des forces militaires locales spécialement entraînées et des instances de surveillance civiles des institutions démocratiques, ils doivent avoir une très bonne idée des objectifs à long terme que leur stratégies d'intervention de court terme ont pour mission de réaliser. Cette observation est particulièrement pertinente dans le cas d'une intervention qui perdure, mais dont l'objectif change en cours de route pour soutenir les autorités locales durant la période transitoire au cours de laquelle elles se réapproprient le pouvoir.

Cet étude traite de l'importance de la planification gouvernementale de ralliement quand vient le temps de préparer et de réaliser une intervention militaire dans un pays exigeant une réforme complète ou une transformation partielle de son appareil de sécurité. Les principaux acteurs et activités touchant les questions de sécurité y sont décrits, et les liens entre la RSS et les opérations de soutien de la paix, le port d'armes de petit calibre et des armes légères, les programmes de DDR, et la société civile sont examinés. Enfin, l'auteure fait valoir l'importance d'incorporer ces considérations dans la doctrine et dans la capacité d'élaboration et de planification des politiques des forces militaires internationales.

Summary

Military and Postconflict Security: Implications for American, British and Other Allied Force Planning and for Postconflict Iraq Ann M. Fitz-Gerald

Recent research carried out by organizations such as the World Bank, the Organisation for Economic Co-operation and Development, the United Nations and many other bilateral donors has drawn a clear link between the incidence of conflict, high levels of poverty, and underdevelopment. When crises occur, military and security forces will often be tempted to move into the power vacuum created by the collapse of state structures, operating outside the control of democratic institutions. As a result, states become unable to guarantee the security of their citizens and state structures lose their legitimacy.

The relationship between conflict prevention and sustainable development has forced policy-makers and practitioners to develop ways and means of reforming a country's security sector at the earliest possible stages of intervention. Security sector reform (SSR) has, in the past, been conventionally addressed by development departments. However, there is now clear evidence that SSR strategies must be factored into pre-conflict, conflict and postconflict planning; they are not an issue for consideration just during postconflict reconstruction. This has implications for joint consultation and planning between all relevant ministries of donor countries, requiring policy provisions to be made during each stage of intervention. Lessons learned from Cambodia, Haiti, Bosnia, Kosovo and Sierra Leone provide just a few examples of where the mismanaged sequencing of activities led to further problems. More immediately, this is relevant to postconflict challenges in Iraq.

Undoubtedly, the core business of the military is security. However, at the highest strategic levels, the mandates and desired endstates of intervention forces must reflect the needs of the wider development agenda for the host country and region. Before military troops hand over primacy to other external actors such as international police forces; authorities responsible for disarmament, demobilization and reintegration (DDR) programs; or local newly trained military forces and democratic civilian oversight mechanisms, they must have a clear idea of the longer-term agenda to which their short-term intervention strategy contributes – particularly if the intervention continues but shifts into a supporting role only, during which local authorities gain primacy.

This paper discusses the importance of joined-up government planning to support military intervention in countries that require complete reform, or perhaps partial transformation, of their security sectors. It outlines the key actors and activities involved in wider security sector issues, and it examines the linkages between SSR and peace support operations, small arms and light weapons, DDR programs, and civil society. Further, it discusses the importance of building these issues into the doctrine and the policy and planning capacity of international military forces.

